



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Amit KUMAR et al.      Docket No.: UNF.P.9336  
Serial No: 10/669,225      Examiner: not assigned yet  
Filed: September 24, 2003      Group Art Unit: 1724  
For: EXHAUST GAS TREATMENT DEVICE AND METHOD FOR MAKING  
THE SAME

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Laura A. Rasmussen  
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(signature of person mailing paper)

3-24-04  
(date)

TRANSMITTAL SHEET

Enclosed is:

Transmittal Sheet with Certificate of Mailing and Authorization to Charge Deposit  
Transmittal of Information Disclosure Statement (2 pages)  
PTO Forms 08A & B (2 pages)  
Copies of Cited References (2 references)  
Copy of International Search Report (4 pages)  
Return Receipt Postcard

Authorization to Charge Deposit Account

In the event an additional fee is applicable to the filing of this document and the required fee is not enclosed, or the fee submitted is insufficient, the Director is hereby authorized to charge any fees which might be required to effect the filing of this (these) document(s) to Account No. 18-0987. This authorization is being submitted in duplicate.

Respectfully submitted,

Joseph G. Curatolo  
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Attorney for Applicants

3-24-2004  
Date



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**TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT BEFORE A FIRST OFFICE ACTION ON THE MERITS  
(37 C.F.R. §1.97(b)(3))**

Dear Sir:

Pursuant to 37 CFR §1.97 encouraging the filing of an Information Disclosure Statement, Applicants submit this paper in compliance with their duty of disclosure as set forth in 37 C.F.R. §1.56.

Applicants wish to introduce art having some relevance to the present application, which has been listed on attached Forms PTO/SB/08A and PTO/SB/08B. These forms include one (1) granted United States patent document, one (1) foreign patent document, and one (1) English language abstract of a foreign patent document. No representation is made that a specific search has been made by Applicants, that the information is material to the claimed subject matter, or that the information represents the only or the best information. Two of the references were cited in the search report for the corresponding international application. Applicants enclose herewith a copy of the international search report for the corresponding PCT application for the record.

Applicants do not admit that any of the information provided is necessarily prior to their invention, but rather it is information of which Applicants are aware and believe should be provided to the Office in fulfillment of the duty of disclosure. Any question that may arise regarding the priority of a specific document shall be resolved during prosecution.

The information provided is in the English language. The referenced art is being cited for the express purpose of providing the Patent and Trademark Office with the opportunity to make an evaluation and to arrive at an independent assessment of materiality of each document, if any, to the examination of this application.

The USPTO has waived the requirement under 37 C.F.R. §1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. patent applications filed after June 30, 2003, and for all international applications that have entered the national stage under 35 U.S.C. §371 after June 30, 2003. 1273 TMOG 55 (August 5, 2003). As this application was filed after June 30, 2003, Applicant has not submitted copies of the U.S. patent that is cited on form PTO/SB/08A enclosed herewith. However, Applicants have enclosed copies of the foreign patent document (WO 99/46028) and the English language abstract of a foreign patent document (DE 19858025) cited on Forms PTO/SB/08A and PTO/SB/08B.

Applicants respectfully request that the information cited be made of record in the subject case.

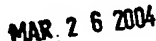
**IDENTIFICATION OF TIME OF FILING THE SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

The Supplemental Information Disclosure Statement is being submitted before a first office action on the merits of the application, under 37 C.F.R. §1.97(b)(3). No fee is due with the filing of this paper.

Respectfully submitted,

  
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Joseph G. Curatolo, Esq. (Reg. No. 28,837)  
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Attorney for Applicants

3-24-2004  
Date



Approved for use through 07/31/2006. OMB 0651-0031

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**Complete if Known**

Application Number	10/669,225
Filing Date	September 24, 2003
First Named Inventor	Amit KUMAR
Art Unit	1724
Examiner Name	not assigned yet
Attorney Docket Number	UNF.P.9336

Sheet

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of

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Attorney Docket Number	UNF.P.9336
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## U.S. PATENT DOCUMENTS

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

***(Use as many sheets as necessary)***

Sheet

2

of

2

<b>Complete if Known</b>	
<i>Application Number</i>	10/669,225
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<i>First Named Inventor</i>	Amit KUMAR
<i>Art Unit</i>	1724
<i>Examiner Name</i>	not assigned yet
<i>Attorney Docket Number</i>	UNF.P.9336

## NON PATENT LITERATURE DOCUMENTS

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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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